655 Montgomery Street, Suite 1800 San Francisco, Ca 94111 tel 415.318.1160 fax 415.693.0194

June 2, 2004

Mail Stop Amendment **Commissioner For Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Applicant(s):

Alan Welsh Sinclair

Title:

Adaptive Blocks

Application No.:

10/749,189

Filing Date:

December 30, 2003

Examiner:

Not yet assigned

Group Art Unit:

2186

Docket No.:

SNDK.337US0

Conf. No.:

9883

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (1 page);
- (3) Information Disclosure Statement (2 pages)(in duplicate);
- (4) PTO Form 1449 (3 pages).

Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502664.

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope address to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450-on June 2, 2004.

Respectfully submitted,

Reg. No. 24,486



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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9883

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application

This application has a filing date after June 30, 2003. Copies of the U.S. Patent(s) and U.S. Published Patent Application(s) documents listed on the accompanying Form PTO-1449 are not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge

Attorney Docket No.: SNDK.337US0

Application No.: 10/749,189

any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450, on June 2, 2004.

Franklin Over

Respectfully submitted,

Gerald P. Parsons

Reg. No. 24,486

Attorney Docket No.: SNDK.337US0

Application No.: 10/749,189

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U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.			Application No.	
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				Applicant(s)	Applicant(s)			
				Alan Welsh Sinclair			9883	
				Filing Date December 30, 2003			Group 2186	
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing I If Appro	
	1	5,070,032	Dec. 3, 1991	Yuan et al.				
	2	5,095,344	Mar. 10, 1992	Harrari				
	3	5,313,421	May 17, 1994	Guterman et al.				
	4	5,315,541	May 24, 1994	Harari et al.				,,
	5	5,343,063	Aug. 30, 1994	Yuan et al.				
	6	5,661,053	Aug. 26, 1997	Yuan		·		
	7	6,222,762	Apr. 24, 2001	Guterman et al.		· · · - ·		
	8	5,570,315	Oct. 29, 1996	Tanaka et al.				
	9	5,774,397	Jun. 30, 1998	Endoh et al.			******	
	_ -	U	J.S. Published Pa	tent Application Docu	ıments			
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	10	2003/0109093	Jun. 12, 2003	Harari et al.				
			Foreign	Patent Documents				
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	11	WO 03/027828	Apr. 3, 2003	PCT				
····	12	WO 02/058074	July 25, 2002	PCT.				
		OTHER AR	T (Including Au	thor, Title, Date, Perti	inent Pages, E	Etc.)		
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Sheet 2 of 3

U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.			Application No.		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SNDK.337US0			10/749,189		
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			U.S. Pa	atent Documents			·		
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	13	6,046,935	Apr. 4, 2000	Takeuchi et al.					
	14	6,522,580	Feb. 18, 2003	Chen et al.					
	15	5,532,962	Jul. 2, 1996	Auclair et al.		-			
	16	5,909,449	Jun. 1, 1999	So et al.					
	17	5,043,940	Aug. 27, 1991	Harari					
	18	5,172,338	Dec. 15, 1992	Mehrotra et al.					
	19	5,930,167	Jul. 27, 1999	Lee et al.		W			
	20	6,456,528	Sep. 24, 2002	Chen					
	21	5,798,968	Aug. 25, 1998	Lee et al.					
		1	U.S. Published Pa	tent Application Docume	ents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
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			Foreign	Patent Documents			· •		
	·						Tran	slation	
		Document	Date	Country	Class	Subclass	Yes	No	
	<u>'</u>	OTHER AI	RT (Including Au	thor, Title, Date, Pertine	nt Pages, E	ctc.)			
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Examiner	Examiner Date Considered			d			·		
*EXAMINER: citation if not i	Initial n confor	if reference consider mance and not con	ered, whether or no sidered. Include co	ot citation is in conformance opy of this form with your	ce with MPI	EP 609; Draw lation to applica	ine through int.		

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U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.			Application No.		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SNDK.337US0			10/749,189		
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(Use several sheets if necessary)				Alan Welsh Sinclair	Alan Welsh Sinclair			9883	
				Filing Date	Filing Date			Group	
				December 30, 2003			2186		
			U.S. P	atent Documents			···		
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	22	5,890,192	Mar. 30, 1999	Lee et al.					
	23	6,034,897	Mar. 7, 2000	Estakhri et al.					
	24	6,141,249	Oct. 31, 2000	Estakhri et al.					
0	25	5,907,856	May 25, 1999	Estakhri et al.					
	26	5,404,485	Apr. 4, 1995	Ban					
	27	5,937,425	Aug. 10, 1999	Ban					
	28	6,591,330	Jul. 8, 2003	Lasser					
		-	U.S. Published Pa	tent Application Docume	ents		·		
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
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	,		Foreign	Patent Documents					
							Tran	slation	
		Document	Date	Country	Class	Subclass	Yes	No	
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*EXAMINER: citation if not i	Initial in confor	if reference considerance and not con	lered, whether or no nsidered. Include co	t citation is in conformanc opy of this form with your	e with MPl communic	EP 609; Draw l ation to applica	ine through nt.		